

June 13, 2022

To,
The Manager,
Listing Department,
Wholesale Debt Market,
The National Stock Exchange of India Ltd,
Exchange Plaza, 5th Floor, Plot C/1, G Block,
Bandra-Kurla Complex, Bandra (East),
Mumbai- 400051.

Subject: Submission of Asset Liability Management Statement for the month ended May 31, 2022

Dear Sir / Madam,

Pursuant to Chapter XVII of SEBI circular no. SEBI/HO/DDHS/P/CIR/2021/613 dated August 10, 2021, please find enclosed herewith Asset Liability Management Statement for the month ended May 31, 2022 submitted to Reserve Bank of India.

Kindly take the above on record and oblige.

Thanking you,

Yours faithfully,

For IIFL Finance Limited

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Rajesh Rajak
Chief Financial Officer



Encl: as above

IIFL Finance Limited

CIN No.: L67100MH1995PLC093797

Corporate Office – 802, 8th Floor, Hub Town Solaris, N.S. Phadke Marg, Vijay Nagar, Andheri East, Mumbai 400069

Tel: (91-22) 6788 1000 .Fax: (91-22) 6788 1010

Regd. Office – IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, Thane Industrial Area, Wagale Estate, Thane – 400604 Tel: (91-22)

41035000. Fax: (91-22) 25806654 E-mail: csteam@iifl.com Website: www.iifl.com



Reserve Bank of India

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General Information

[Filing Information](#)

Statements

[Authorised Signatory - Authorised Signatory](#)

[DNBS4BStructuralLiquidity - Statement of Structural Liquidity](#)

[DNBS4BIRS - Statement of Interest Rate Sensitivity \(IRS\)](#)

LEGEND

Numeric Data	
Text Block Data	
Text Data	
Dropdown Data	
No Data	
Blocked Data	
Reporting Date	
Auto Populated Value	
Formula Cell	
Master Driven Data	
Dyanamic Dropdown Data	
Free Text Data	



Filing Information

Filing Information	
	Information
Return Name	DNBS04B-Structural Liquidity & Interest Rate Sensitivity - Monthly
Return Code	DNBS4B
Name of reporting institution	IIFL Finance Limited
Bank / FI code	MUM12113
Institution Type	NBFC
Reporting frequency	Monthly
Reporting start date	01-05-2022
Reporting end date	31-05-2022
Reporting currency	INR
Reporting scale	Lakhs
Taxonomy version	1.1.0
Tool name	RBI iFile
Tool version	1.0.0
Report status	Un-Audited
Date of Audit	
General remarks	

Scoping Question	
	X010
Whether NBFC Profile has been updated on website	Yes
Category Of NBFC	Non-Deposit taking Systemically Important (NDSI) NBFC
Classification of NBFC	(i) NBFC - Investment and Credit Company (NBFC-ICC) (Loan Company (LC) / Asset Finance Company (AFC) / Investment Company (IC))



AuthorisedSignatory - Authorised Signatory

Table 1: Authorised Signatory		
Particulars		Value
		X010

Name of the Person Filing the Return	Y010	Sneha Patwardhan
Designation	Y020	Compliance Officer
Office No. (with STD Code)	Y030	02267881000
Mobile No.	Y040	9920869177
Email Id	Y050	nbfcccompliance@iifl.com
Date	Y060	31-05-2022
Place	Y070	Mumbai

1. All values must be reported in Rs lakh.
2. Enter all dates in dd-mm-yyyy format.
3. Please ensure that the financial information furnished in the various sheets of this return are correct and reflecting the true picture of the business operations of the NBFC, if found otherwise, the concerned NBFC would be liable for penal action under the provisions of RBI Act.

All Monetary Items present in this return shall be reported in ₹ Lakhs Only

Table 2: Statement of Structural Liquidity

[illegible]

[illegible]

All Monetary Items present in this return shall be reported in ₹ Lakhs Only

Table 3: Statement of Interest Rate Sensitivity (IRS)

[illegible]

Table 4: Statement on Interest Rate Sensitivity (IRS) - Off-Balance Sheet Items (OBS)													
Particulars	0 day to 7 days	8 days to 14 days	15 days to 30/31 days (One month)	Over one month and up to 2 months	Over two months and up to 3 months	Over 3 months and up to 6 months	Over 6 months and up to 1 year	Over 1 year and up to 2 years	Over 2 years and up to 3 years	Over 3 years	Non-sensitive	Total	
	X130	X140	X150	X160	X170	X180	X190	X200	X210	X220	X230	X240	
A. Expected Outflow on account of OBS Items													
1. Lines of credit committed to other institutions	X1302	0.00	0.00	0.00	0.00	0.00	0.00	70,008.00	0.00	0.00	0.00	70,008.00	
2. Letter of Credits (LoC)	X1303	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
3. Guarantees (Financial & Other)	X1304	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
4. Sale and repurchase agreement and asset sales with recourse, where the credit risk remains with the available NBFC	X1305	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
5. Lending of NBFC securities or pooling of securities as collateral by the NBFC IFC, including interest where there arise out of repo style transactions	X1306	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
6. Commitment to provide liquidity facility for securitization of standard asset transactions	X1307	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
7. Second loss credit enhancement for securitization of standard asset transactions provided as third party	X1308	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
8. Outflow from Derivative Exposure (in x 10 x 10 x x x x)	X1309	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(i) Futures Contracts (Fut-IR-IR)	X1310	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(ii) Interest Rate Futures	X1311	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(iii) Other Futures (Commodities, Securities etc.)	X1312	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(iv) Options Contracts (Fut-IR-IR)	X1313	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(v) Currency Options Purchased / Sold	X1314	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(vi) Interest Rate Options	X1315	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(vii) Other Options (Commodities, Securities etc.)	X1316	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(iii) Swaps - Currency (Fut-IR)	X1317	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(i) Cross Currency Interest Rate Swaps (Not Involving	X1318	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
IR) - CCI - Not Interest Rate Swaps	X1319	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(ii) Swaps - Interest Rate (Fut-IR)	X1320	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(i) Single Currency Interest Rate Swaps	X1321	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(ii) Multi Currency Interest Rate Swaps	X1322	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(iii) Swaps - Others (Commodities, Securities etc.)	X1323	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(iv) Credit Default Swap (CDS) Purchased	X1324	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(v) Swaps - Others (Commodities, Securities etc.)	X1325	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
9. Other contingent outflow	X1326	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
Total Outflow on account of OBS Items (Sum of 1 to 13/14/15/16/17/18/19)	X1327	0.00	0.00	0.00	0.00	0.00	0.00	70,008.00	0.00	0.00	0.00	70,008.00	
B. Expected Inflow on account of OBS Items													
1. Credit commitments from other institutions pending disbursement	X2010	0.00	0.00	70,008.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	70,008.00	
2. Inflow on account of Reverse Repo (RR)	X2011	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
3. Inflow on account of RRH rediscounted	X2012	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
4. Inflow from Derivative Exposure (in x 10 x 10 x x x x)	X2100	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(i) Futures Contracts (Fut-IR-IR)	X2101	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(ii) Interest Rate Futures	X2102	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(iii) Other Futures (Commodities, Securities etc.)	X2103	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(iv) Options Contracts (Fut-IR-IR)	X2104	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(v) Currency Options Purchased / Sold	X2105	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(vi) Interest Rate Options	X2106	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(vii) Other Options (Commodities, Securities etc.)	X2107	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(iii) Swaps - Currency (Fut-IR)	X2108	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(i) Cross Currency Interest Rate Swaps (Not Involving	X2109	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
IR) - CCI - Not Interest Rate Swaps	X2110	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(ii) Swaps - Interest Rate (Fut-IR)	X2111	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(i) Single Currency Interest Rate Swaps	X2112	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(ii) Multi Currency Interest Rate Swaps	X2113	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(iii) Swaps - Others (Commodities, Securities etc.)	X2114	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(iv) Credit Default Swap (CDS) Purchased	X2115	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
5. Other contingent inflow	X2116	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
Total Inflow on account of OBS Items (Sum of 1 to 13/14/15/16/17/18/19)	X2117	0.00	0.00	70,008.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	70,008.00	
C. MISMATCH (C2-C1)	X2200	0.00	0.00	70,008.00	0.00	0.00	0.00	70,008.00	0.00	0.00	0.00	0.00	

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(MUM12113)

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IIFL Finance Limited

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-- Select --
-- Select Month -- -- Select Year --

Statement Type *
☒ Standalone ☐ Consolidated

Report Status *
☒ ☐

Note on Submission of Audited/Un-audited Returns

1.Banks/NBFCs, which are subjected to Audit/Quarterly Review for a reference period, must submit un-audited/pre-review return within the stipulated time as provisional and the audited/post-review return within one week of completion of audit/review. Unless the audited/post review return is submitted, the return submission for the reference period will be treated as pending.

2.Banks/NBFCs, which are not subjected to Audit/Quarterly Review for a reference period, should submit un-

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Select Bank/NBFC
IIFL Finance Limited

Select From Date *
01-MAY-2022

Select To Date
31-MAY-2022

Submit Reset

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10-Jun-22