



November 11, 2022

**To,
The Manager,
Listing Department,
Wholesale Debt Market,
The National Stock Exchange of India Ltd,
Exchange Plaza, 5th Floor, Plot C/1, G Block,
Bandra-Kurla Complex, Bandra (East),
Mumbai- 400051.**

Subject: Submission of Asset Liability Management Statement for the month ended October 31, 2022

Dear Sir/ Madam,

Pursuant to Chapter XVII of SEBI circular no. SEBI/HO/DDHS/P/CIR/2021/613 dated August 10, 2021, please find enclosed herewith Asset Liability Management Statement for the month ended October 31, 2022 submitted to Reserve Bank of India.

Kindly take the above on record and oblige.

Thanking you,

Yours faithfully,

For IIFL Finance Limited

**Sneha Patwardhan
Company Secretary**

Encl: as above

IIFL Finance Limited

CIN No.: L67100MH1995PLC093797

Corporate Office – 802, 8th Floor, Hub Town Solaris, N.S. Phadke Marg, Vijay Nagar, Andheri East, Mumbai 400069

Tel: (91-22) 6788 1000 .Fax: (91-22) 6788 1010

Regd. Office – IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, Thane Industrial Area, Wagle Estate, Thane – 400604 Tel: (91-22) 41035000. Fax: (91-22) 25806654 E-mail: csteam@iifl.com Website: www.iifl.com



Reserve Bank of India

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Statements

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[DNBS4BStructuralLiquidity - Statement of Structural Liquidity](#)

[DNBS4BIRS - Statement of Interest Rate Sensitivity \(IRS\)](#)

LEGEND

Numeric Data	
Text Block Data	
Text Data	
Dropdown Data	
No Data	
Blocked Data	
Reporting Date	
Auto Populated Value	
Formula Cell	
Master Driven Data	
Dyanamic Dropdown Data	
Free Text Data	



Filing Information

Filing Information	
	Information
Return Name	DNBS04B-Structural Liquidity & Interest Rate Sensitivity - Monthly
Return Code	DNBS4B
Name of reporting institution	IIFL Finance Limited
Bank / FI code	MUM12113
Institution Type	NBFC
Reporting frequency	Monthly
Reporting start date	01-10-2022
Reporting end date	31-10-2022
Reporting currency	INR
Reporting scale	Lakhs
Taxonomy version	1.1.0
Tool name	RBI iFile
Tool version	1.0.0
Report status	Un-Audited
Date of Audit	
General remarks	

Scoping Question	
	X010
Whether NBFC Profile has been updated on website	Yes
Category Of NBFC	Non-Deposit taking Non-Systemically Important NBFC
Classification of NBFC	(i) NBFC - Investment and Credit Company (NBFC-ICC) (Loan Company (LC) / Asset Finance Company (AFC) / Investment Company (IC))



AuthorisedSignatory - Authorised Signatory

Table 1: Authorised Signatory		
Particulars		Value
		X010

Name of the Person Filing the Return	Y010	Sneha Patwardhan
Designation	Y020	Compliance Officer
Office No. (with STD Code)	Y030	02267881000
Mobile No.	Y040	9920869177
Email Id	Y050	nbfcccompliance@iifl.com
Date	Y060	10-11-2022
Place	Y070	Mumbai

1. All values must be reported in Rs lakh.
2. Enter all dates in dd-mm-yyyy format.
3. Please ensure that the financial information furnished in the various sheets of this return are correct and reflecting the true picture of the business operations of the NBFC, if found otherwise, the concerned NBFC would be liable for penal action under the provisions of RBI Act.

All Monetary Items present in this return shall be reported in ₹ Lakhs Only

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A. Total Outflows (A)		V1250	11,666.73	5,172.00	120,244.67	67,871.38	35,383.51	130,262.66	34,727.64	139,654.06	117,396.13	832,988.46	2,322,684.14	76,515.07	66,338.39	14,738.66
Item 1 of 131		V1250	11,666.73	5,172.00	120,244.67	67,871.38	35,383.51	130,262.66	34,727.64	139,654.06	117,396.13	832,988.46	2,322,684.14	76,515.07	66,338.39	14,738.66
A.1. Compensatory Outflows		V1250	11,666.73	5,172.00	120,244.67	67,871.38	35,383.51	130,262.66	34,727.64	139,654.06	117,396.13	832,988.46	2,322,684.14	76,515.07	66,338.39	14,738.66
B. INVOICES		V1270	9,983.40	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	9,983.40	0.00	0.00	0.00
1. Cash flow to 2022 (5 year bucket)		V1270	9,983.40	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	9,983.40	0.00	0.00	0.00
2. Reimburse in Transit		V1270	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3. Reimburse With Account		V1270	9,983.41	2,220.81	2,948.75	2,388.14	0.00	44,465.00	37,876.38	68,800.24	0.00	0.00	158,897.25	8,693.41	2,220.81	2,948.75
(a) Current Account		V1300	8,431.41	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,431.41	0.00	0.00	0.00
(b) Reimburse in Transit		V1300	2,220.81	2,220.81	2,948.75	2,388.14	0.00	44,465.00	37,876.38	68,800.24	0.00	0.00	158,897.25	292.00	2,220.81	2,948.75
4. Investments		V1330	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(a) Investments		V1330	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(b) Investments		V1330	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(c) Investments		V1330	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(d) Investments		V1330	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(e) Investments		V1330	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(f) Investments		V1330	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(g) Investments		V1330	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(h) Investments		V1330	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(i) Investments		V1330	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(j) Investments		V1330	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(k) Investments		V1330	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(l) Investments																



All Monetary Items present in this return shall be reported in ₹ Lakhs Only

Table 2: Statement of Interest Rate Sensitivity (RS)

Particulars	0 day to 7 days												Non-sensitive	Total
	RSR	RSR	RSR	RSR	RSR	RSR	RSR	RSR	RSR	RSR	RSR	RSR		
A. LIABILITIES (OUTSTANDING)														
1 Capital Issued	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	7,007.48	7,007.48
1.1 Bank	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	7,007.48	7,007.48
1.2 Payable performance shares	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
1.3 Non interest-bearing performance shares	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
1.4 Other (Please Specify) & net	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2 Reserve & surplus (including provision/contingent liability)	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	448,979.41	448,979.41
2.1 Share Premium Account	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	185,183.41	185,183.41
2.2 General Reserve	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	50,895.26	50,895.26
2.3 Statutory Special Reserve (Section 45-C reserve to be shown separately below item 10.1)	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2.4 Reserve under Sec 45-C of RBI Act 1934	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	85,029.08	85,029.08
2.5 Capital Redemption Reserve	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	23,011.14	23,011.14
2.6 Debenture Redemption Reserve	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	2,000.00	2,000.00
2.7 Other Capital Reserve	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8,338.13	8,338.13
2.8 Other Reserve Account	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2.9 Investment Fluctuation Reserve/ Investment Reserves	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2.10 Reserves	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2.11 A. Real Reserves - Provision	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2.12 B. Real Reserves - Provision	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2.13 C. Share Application Money Pending Allotment	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2.14 D. Other Reserve Account	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2.15 E. Balance of profit and loss account	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	133,811.17	133,811.17
2.16 F. Profit, surplus, dividend & distributions	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,009.97	1,009.97
2.17 G. Profit & Loss Account	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,009.97	1,009.97
2.18 H. Instruments with embedded options	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2.19 I. Floating rate Instruments	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2.20 J. Other	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3 Borrowings (including contingent liability)	1000	2,000.00	0.00	3,700.40	0.00	2,000.00	33,332.23	32,644.34	276,743.00	337,322.32	249,040.00	276,743.00	1,462,460.00	1,462,460.00
3.1 Bank borrowings	1000	100.00	0.00	1,000.00	0.00	100.00	32,832.24	32,654.36	177,337.43	456,433.61	30,426.16	0.00	601,850.16	601,850.16
3.2 Other borrowings	1000	190.00	0.00	2,700.40	0.00	200.00	32,332.24	32,454.34	159,395.57	426,006.65	27,393.84	0.00	897,219.84	897,219.84
3.3 A. Fixed rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.4 B. Floating rate	1000	100.00	0.00	1,000.00	0.00	100.00	32,832.24	32,654.36	177,337.43	456,433.61	30,426.16	0.00	601,850.16	601,850.16
3.5 C. Bank Borrowings in the nature of MCLR	1000	100.00	0.00	1,000.00	0.00	100.00	32,832.24	32,654.36	177,337.43	456,433.61	30,426.16	0.00	601,850.16	601,850.16
3.6 D. Floating rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.7 E. Bank Borrowings in the nature of Cash Credit (CCA)	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.8 F. Floating rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.9 G. Other	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.10 H. Bank Borrowings in the nature of Letter of Credit (LC)	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.11 I. Fixed rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.12 J. Floating rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.13 K. Bank Borrowings in the nature of FCN	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.14 L. Fixed rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.15 M. Floating rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.16 N. Bank Borrowings in the nature of FCN	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.17 I. Fixed rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.18 J. Floating rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.19 K. Other Corporate Debt (other than related parties)	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.20 L. Fixed rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.21 M. Floating rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.22 N. Bank Borrowings in the nature of MCLR	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.23 O. Floating rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.24 P. Other Corporate Debt	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.25 I. Fixed rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.26 J. Floating rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.27 K. Other Corporate Debt	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.28 L. Fixed rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.29 M. Floating rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.30 N. Other Corporate Debt	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.31 I. Fixed rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.32 J. Floating rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.33 K. Other Corporate Debt	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.34 L. Fixed rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.35 M. Floating rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.36 N. Other Corporate Debt	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.37 I. Fixed rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.38 J. Floating rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.39 K. Other Corporate Debt	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.40 L. Fixed rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.41 M. Floating rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.42 N. Other Corporate Debt	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.43 I. Fixed rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.44 J. Floating rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.45 K. Other Corporate Debt	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.46 L. Fixed rate	1000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00					

[illegible]

Welcome to RBI's XBRL Based E-Reporting System

Welcome MUM12113
Last Login : 10-11-2022 17:58:23

[Help](#) | [FAQ](#) | [Edit Profile](#) | [Change Password](#) | [Contact us](#) | [Log Out](#)

(MUM12113)

- Home/होम
- Update Profile
- XBRL Admin/एक्सबीआरएल व्यवस्थापक
- Download Returns Package/रिटर्न पैकेज डाउनलोड
- Request for Revision/संशोधन के लिए अनुरोध
- Upload Returns/रिटर्न अपलोड करें
- Verify / Register DSC
- Expiry DSC Deactivation
- View DSC
- Publish Filings/फाइलिंग प्रकाशित करें
- View Error Log/त्रुटि लॉग देखें
- Issue Tracker

Publish Filings

Select Return * * Compulsory Fields

DNB504B-Structural Liquidity & Interest F

Select Bank/NBFC

IIFL Finance Limited

Select From Date *

31-OCT-2022

Select To Date

31-OCT-2022

Submit Reset

Name of the uploaded return	Reporting period end date	Date of Upload	Reporting Status	Is Revised	Processing Status	Download Files
Returns\IIRS_MUM12113_31-OCT-2022_10112022180028PM.xml	31-OCT-2022	10-NOV-2022 18:00:29	Final	No	Completed	Download

(6) - Inbox - Zoho Mail (aastha.d...)

Welcome to RBI's XBRL Based E-Reporting System

(MUM12113)

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Upload Returns

Instance document has been uploaded successfully.

* For Revised Submission, please get the necessary approval from RBI by clicking on the link "Request for Revision".

Select Return *

-- Select Return --

Select Bank/NBFC *

IIFL Finance Limited

Reporting Date *

-- Select --

-- Select Month --

-- Select Year --

Statement Type *

☒ Standalone ☐ Consolidated

Report Status *

☒ ☐

Note on Submission of Audited/Un-audited Returns

1.Banks/NBFCs, which are subjected to Audit/Quarterly Review for a reference period, must submit un-audited/pre-review return within the stipulated time as provisional and the audited/post-review return within one week of completion of audit/review. Unless the audited/post review return is submitted, the return submission for the reference period will be treated as pending.

2.Banks/NBFCs, which are not subjected to Audit/Quarterly Review for a reference period, should submit un-audited return within the stipulated time.

3.Both, audited as well as un-audited returns may be revised by banks/NBFCs after RBI permission, however, if audited return is submitted the un-audited return can neither be submitted nor be revised.